

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65191KL1927PLC000307

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCT0019J

(ii) (a) Name of the company

DHANLAXMI BANK LIMITED

(b) Registered office address

DHANALAKSHMI BANK BUILDINGNAICKANAL
Naickanal
TRICHUR
Kerala
680001

(c) *e-mail ID of the company

investors@dhanbank.co.in

(d) *Telephone number with STD code

04876617142

(e) Website

www.dhanbank.com

(iii) Date of Incorporation

14/11/1927

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LTD	1
2	THE NATIONAL STOCK EXCHANGE OF INDIA LTD	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	400,000,000	253,012,084	253,012,084	253,012,084
Total amount of equity shares (in Rupees)	4,000,000,000	2,530,120,840	2,530,120,840	2,530,120,840

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	400,000,000	253,012,084	253,012,084	253,012,084
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	4,000,000,000	2,530,120,840	2,530,120,840	2,530,120,840

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	2,158,624	250,853,460	253,012,084	2,530,120,840	2,530,120,840	

Increase during the year	0	14,769	14769	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	0	14,769	14769			
Decrease during the year	14,769	0	14769	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	
iv. Others, specify	14,769	0	14769			
At the end of the year	2,143,855	250,868,228	253012084	2,530,120,8	2,530,120,	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE680A01011

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		30/09/2020	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>		
Type of transfer	<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
	Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	1,500	1000000	1,500,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			1,500,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	1,775	0	275	1,500
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

10,722,257,000

(ii) Net worth of the Company

6,501,413,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (promoters)

0

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	164,892,959	65.17	0	
	(ii) Non-resident Indian (NRI)	23,096,108	9.13	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	180,986	0.07	0	
4.	Banks	696,200	0.28	0	
5.	Financial institutions	5,600	0	0	
6.	Foreign institutional investors	28,924,432	11.43	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	27,555,184	10.89	0	
10.	Others TRUST, CLEARING MEMBER	7,660,615	3.03	0	
	Total	253,012,084	100	0	0

Total number of shareholders (other than promoters) 90,417

Total number of shareholders (Promoters+Public/
Other than promoters) 90,417

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

7

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
ELARA CAPITAL MAUF	ICICI BANK LTD SMS DEPT 1ST FLC			1,000,000	0.4
MARSHAL GLOBAL CA	19 BANK STREET CYBERCITY EBENI			5,500,000	2.17
INDIA OPPORTUNITIES	STANDARD CHARTERED BANK Sec			1,500,000	0.59
ANTARA INDIA EVERG	ICICI BANK LTD SMS DEPT 1ST FLC			5,450,000	2.15
ELARA INDIA OPPORT	C/O. ICICI BANK LTD., SMS 1ST FLC			4,000,000	1.58
CITRINE FUND LIMITE	DEUTSCHE BANK AG, DB HOUSE H			3,000,000	0.12
VESPERA FUND LIMITE	ICICI BANK LTD EMPIRE COMPLEX			11,174,432	4.42

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	79,107	90,417
Debenture holders	6	1

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	7	1	5	0	7.49
(i) Non-Independent	1	2	1	1	0	0
(ii) Independent	0	5	0	4	0	7.49
C. Nominee Directors representing	0	1	0	2	0	0
(i) Banks & FIs	0	1	0	2	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	8	1	7	0	7.49

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
G. SUBRAMONIA IYER	07186055	Director	0	
SHIVAN J.K	09008166	Managing Director	0	
GOPINATHAN C K	01236752	Director	18,970,000	
G. JAGAN MOHAN	08722219	Nominee director	0	
DR. CAPT. SUSEELA M	08785027	Director	0	
G. RAJAGOPALAN NAI	08845540	Director	0	
P.K VIJAYAKUMAR	07757158	Director	500	
D.K KASHYAP	08911524	Nominee director	0	
RAMESH A.J	ACFPR8210A	CFO	0	
VENKATESH.H	ADLPV8018K	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

14

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
G. SUBRAMONIA IYER	07186055	Director	06/07/2020	Appointment
SHIVAN J.K	09008166	Managing Director	30/01/2021	Appointment
Dr. Capt. SUSEELA ME	08785027	Director	06/07/2020	Appointment
G. RAJAGOPALAN NAI	08845540	Director	17/08/2020	Appointment
P.K VIJAYAKUMAR	07757158	Director	17/08/2020	Appointment
GOPINATHAN C.K	01236752	Director	30/09/2020	Re- Appointment
D.K KASHYAP	08911524	Nominee director	28/09/2020	Appointment
SAJEEV KRISHNAN	08066443	Director	29/06/2020	Cessation
K.N MURALI	07902366	Director	29/06/2020	Cessation
G. VENKATANARAYAN	08601122	Director	29/06/2020	Cessation
B. RAVINDRAN PILLAI	00944821	Director	24/05/2020	Cessation
Dr. LAKSHMY DEVI K.F	07003258	Director	26/05/2020	Cessation
CHELLA K SRINIVASA	01460198	Director	30/09/2020	Cessation
SUNIL GURBAXANI	08569205	Managing Director	30/09/2020	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	30/09/2020	92,908	64	10.22
POSTAL BALLOT	25/01/2021	90,541	105	25.02

B. BOARD MEETINGS

*Number of meetings held

23

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	15/05/2020	9	8	88.89
2	15/06/2020	7	7	100
3	22/06/2020	7	7	100
4	06/07/2020	4	4	100
5	17/07/2020	6	6	100
6	03/08/2020	6	6	100
7	17/08/2020	6	6	100
8	27/08/2020	8	8	100
9	07/09/2020	8	8	100
10	18/09/2020	8	8	100
11	30/09/2020	8	8	100
12	08/10/2020	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

59

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	15/06/2020	4	4	100
2	Audit Committee	22/06/2020	4	4	100
3	Audit Committee	17/07/2020	4	4	100
4	Audit Committee	03/08/2020	4	4	100
5	Audit Committee	01/09/2020	4	4	100
6	Audit Committee	11/09/2020	4	4	100
7	Audit Committee	25/09/2020	4	4	100
8	Audit Committee	03/11/2020	5	5	100
9	Audit Committee	09/02/2020	5	5	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
10	Audit Committee	27/03/2020	5	4	80

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	G. SUBRAMO	19	19	100	38	38	100	
2	SHIVAN J.K	4	4	100	11	11	100	
3	GOPINATHAN	23	22	95.65	31	31	100	
4	G. JAGAN MO	23	22	95.65	10	9	90	
5	DR. CAPT. SU	19	19	100	31	31	100	
6	G. RAJAGOP	16	16	100	23	23	100	
7	P.K VIJAYAK	16	16	100	25	25	100	
8	D.K KASHYAP	12	12	100	3	3	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL GURBAXAN	MANAGING DIR	2,141,931	0	0	0	2,141,931
2	SHIVAN J.K	MANAGING DIR	825,806	0	0	0	825,806
	Total		2,967,737	0	0	0	2,967,737

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAMESH A.J	CHIEF FINANCIAL OFFICER	2,663,557	0	0	0	2,663,557

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	VENKATESH.H	COMPANY SEC +	1,503,156	0	0	0	1,503,156
	Total		4,166,713	0	0	0	4,166,713

Number of other directors whose remuneration details to be entered

10

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	G. SUBRAMONIA I +	PART-TIME CH. +	1,155,000	0	0	0	1,155,000
2	GOPINATHAN C.K +	DIRECTOR	1,025,000	0	0	0	1,025,000
3	DR. CAPT. SUSEE +	INDEPENDENT +	970,000	0	0	0	970,000
4	G. RAJAGOPALAN +	INDEPENDENT +	880,000	0	0	0	880,000
5	P.K VIJAYAKUMAR +	INDEPENDENT +	895,000	0	0	0	895,000
6	LAKSHMY DEVI K +	INDEPENDENT +	70,000	0	0	0	70,000
7	SAJEEV KRISHNA +	INDEPENDENT +	270,000	0	0	0	270,000
8	K.N MURALI	INDEPENDENT +	250,000	0	0	0	250,000
9	G. VENKATANARA +	INDEPENDENT +	190,000	0	0	0	190,000
10	CHELLA K SRINIVA +	INDEPENDENT +	660,000	0	0	0	660,000
	Total		6,365,000	0	0	0	6,365,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

0

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

0

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

--

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Board / Committee Meetings

S. No	Type of Meeting	Date of Meeting	Total number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Board	15.05.2020	9	8	88.89
2	Board	15.06.2020	7	7	100
3	Board	22.06.2020	7	7	100
4	Board	06.07.2020	4	4	100
5	Board	17.07.2020	6	6	100
6	Board	03.08.2020	6	6	100
7	Board	17.08.2020	6	6	100
8	Board	27.08.2020	8	8	100
9	Board	07.09.2020	8	8	100
10	Board	18.09.2020	8	8	100
11	Board	30.09.2020	8	8	100
12	Board	08.10.2020	7	7	100
13	Board	15.10.2020	7	7	100
14	Board	03.11.2020	7	7	100
15	Board	13.11.2020	7	6	87.5
16	Board	16.11.2020	7	7	100
17	Board	26.11.2020	7	7	100
18	Board	15.12.2020	7	7	100
19	Board	28.01.2021	7	7	100
20	Board	09.02.2021	8	8	100
21	Board	02.03.2021	8	8	100
22	Board	18.03.2021	8	8	100
23	Board	28.03.2021	8	8	100
24	Audit Committee	15.06.2020	4	4	100
25	Audit Committee	22.06.2020	4	4	100
26	Audit Committee	17.07.2020	4	4	100
27	Audit Committee	03.08.2020	4	4	100
28	Audit Committee	01.09.2020	4	4	100
29	Audit Committee	11.09.2020	4	4	100
30	Audit Committee	25.09.2020	4	4	100
31	Audit Committee	03.11.2020	5	5	100
32	Audit Committee	09.02.2021	5	5	100
33	Audit Committee	27.03.2021	5	4	100
34	Credit & Business Committee	29.05.2020	4	4	100
35	Credit & Business Committee	29.06.2020	4	3	75
36	Credit & Business Committee	20.08.2020	4	4	100
37	Credit & Business Committee	01.09.2020	4	4	100
38	Credit & Business Committee	15.10.2020	3	3	100
39	Credit & Business Committee	25.11.2020	3	3	100

40	Credit & Business Committee	10.12.2020	3	3	100
41	Credit & Business Committee	29.01.2021	3	3	100
42	Credit & Business Committee	23.02.2021	4	4	100
43	Credit & Business Committee	25.03.2021	4	4	100
44	Nomination & Remuneration Committee	15.05.2020	3	2	66.6
45	Nomination & Remuneration Committee	12.06.2020	2	2	100
46	Nomination & Remuneration Committee	05.08.2020	4	4	100
47	Nomination & Remuneration Committee	20.08.2020	5	5	100
48	Nomination & Remuneration Committee	07.09.2020	5	5	100
49	Nomination & Remuneration Committee	12.11.2020	5	5	100
50	Nomination & Remuneration Committee	16.11.2020	5	5	100
51	Nomination & Remuneration Committee	26.11.2020	5	5	100
52	Nomination & Remuneration Committee	28.12.2020	5	5	100
53	Nomination & Remuneration Committee	24.02.2021	5	5	100
54	Nomination & Remuneration Committee	16.03.2021	5	5	100
55	Risk Management Committee	22.05.2020	5	4	80
56	Risk Management Committee	16.06.2020	4	4	100
57	Risk Management Committee	14.08.2020	3	3	100
58	Risk Management Committee	23.10.2020	4	4	100
59	Risk Management Committee	12.12.2020	4	4	100
60	Risk Management Committee	20.02.2021	5	5	100
61	Risk Management Committee	16.03.2021	5	4	80
62	NPA Monitoring Committee	11.06.2020	4	4	100
63	NPA Monitoring Committee	05.12.2020	3	3	100
64	Large Value Fraud Monitoring Committee	11.06.2020	4	4	100
65	Large Value Fraud Monitoring Committee	23.10.2020	5	5	100
66	Large Value Fraud Monitoring Committee	25.03.2021	6	6	100
67	Stakeholders' Relationship Committee	11.06.2020	4	4	100
68	Stakeholders' Relationship Committee	17.08.2020	3	3	100
69	Stakeholders' Relationship Committee	12.12.2020	3	3	100
70	Stakeholders' Relationship Committee	16.03.2021	4	4	100
71	Customer Service Committee	17.08.2020	3	3	100
72	Customer Service Committee	24.02.2021	5	5	100
73	Corporate Social Responsibility Committee	24.02.2021	4	4	100
74	Corporate Social Responsibility Committee	16.03.2021	4	4	100
75	HRD Committee	15.05.2020	3	3	100
76	HRD Committee	12.06.2020	2	2	100
77	HRD Committee	05.08.2020	3	3	100
78	HRD Committee	23.02.2021	5	5	100
79	IT Strategy Committee	12.06.2020	3	3	100
80	IT Strategy Committee	20.08.2020	4	4	100
81	IT Strategy Committee	25.09.2020	4	4	100
82	IT Strategy Committee	20.02.2021	5	5	100

V Suresh, FCS

Phone: 044-4211 1701

PCS, Insolvency Professional, Regd. Valuer of Financial Assets

E-mail ID: vsureshpcs@gmail.com

No.28, 1st Floor, Ganapathy Colony 3rd Street, Teynampet, Chennai-600018

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **M/s. DHANLAXMI BANK LIMITED** (the Bank) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Bank, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Bank has complied with provisions of the Act & Rules made there under in respect of:
1. Its status under the Act;
 2. Maintenance of registers/records & making entries therein within the time prescribed thereof;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
 4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Bank on due dates as stated in the annual return in respect of which meetings, and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. Closure of Register of Members / Security holders, as the case may be.
 6. Advances/ loans to its directors and/or persons or firms or companies referred in section 185 of the Act – **Not Applicable.**
 7. Contracts/arrangements with related parties as specified in section 188 of the Act; **Not Applicable.**



8. ~~Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances.~~
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - **Not Applicable**
10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;— **Not Applicable.**
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/~~filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them.~~
13. appointment/~~reappointment/ filling up casual vacancies~~ of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the ~~Central Government, Tribunal, Regional Director, Registrar, Court~~ or such other authorities under the various provisions of the Act.
15. The company, being a banking company, the acceptance/ renewal/ repayment of deposits were made in the ordinary course of business
16. Borrowings from its ~~directors, members, public financial institutions, banks and others and creation / modification / satisfaction of charges in that respect, wherever applicable;~~
17. The company, being a banking company, loan / investment/ guarantee given or security provided, if any, were in the ordinary course of business and therefore the relevant provisions of the Act are not applicable.
18. Alteration of the provisions of the Memorandum and Articles of Association of the Bank.

Place : Chennai

Date :

V Suresh
Practising Company Secretary
C.P.NO: 6032

UDIN:

